

|   |   |   |
|---|---|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |   | ATTORNEY'S DOCKET NUMBER<br><b>ELI-038US</b>                        |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/US03/29065</b>  | INTERNATIONAL FILING DATE<br><b>16 September 2003</b> | U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/527937</b> |
| TITLE OF INVENTION<br><b>BISPECIFIC MOLECULE COMPRISING AN ANTI-CR1 ANTIBODY CROSS-LINKED TO AN ANTIGEN-BINDING ANTIBODY FRAGMENT</b>   |   | PRIORITY DATE CLAIMED<br><b>16 September 2002</b>                   |
| APPLICANT(S) FOR DO/EO/US<br><b>Nehal MOHAMED <i>et al.</i></b>   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |
| 1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.   |   |   |
| 2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.  |   |   |
| 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |
| 4. <input type="checkbox"/> The US has been elected (Article 31).   |   |   |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))  |   |   |
| a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).   |   |   |
| b. <input type="checkbox"/> has been communicated by the International Bureau.  |   |   |
| c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |   |
| 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |   |
| a. <input type="checkbox"/> is attached hereto.   |   |   |
| b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).  |   |   |
| 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |   |   |
| a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).  |   |   |
| b. <input type="checkbox"/> have been communicated by the International Bureau.   |   |   |
| c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.   |   |   |
| d. <input checked="" type="checkbox"/> have not been made and will not be made.   |   |   |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |   |
| 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |   |   |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                   |   |   |
| <b>Items 11 to 20 below concern document(s) or information included:</b>  |   |   |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |   |   |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  |   |   |
| 13. <input type="checkbox"/> A preliminary amendment.   |   |   |
| 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.   |   |   |
| 15. <input type="checkbox"/> A substitute specification.  |   |   |
| 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.  |   |   |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13 <del>ter</del> 2 and 37 CFR 1.821 – 1.825.                                       |   |   |
| 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |   |   |
| 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  |   |   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |              |   |            |  |                     |
|--|--------------|---|------------|--|---------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/527937</b>  |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/US03/29065</b>                        |            | ATTORNEY'S DOCKET NUMBER<br><b>ELI-038US</b> |                     |
| 20. <input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard, Copy of the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/elected Office, Response To Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/elected Office, Request for Change of Attorney Docket Number, Certificate of Express Mailing  |              |   |            |  |                     |
| The following fees have been submitted   |              |   |            | <b>CALCULATIONS</b>                          | <b>PTO USE ONLY</b> |
| 21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... <b>\$300</b>   |              |   |            | \$   |                     |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b><br>All other situations ..... <b>\$200</b>  |              |   |            | \$ 0.00                                      |                     |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b><br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... <b>\$100</b><br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... <b>\$400</b><br>All other situations ..... <b>\$500</b> |              |   |            | \$ 0.00                                      |                     |
| <b>TOTAL OF 21, 22 and 23 =</b>  |              |   |            | \$ 0.00                                      |                     |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.   |              |   |            |  |                     |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |  |                     |
| 71 - 100 =   | /50 =        |   | x \$250.00 | \$   |                     |
| Surcharge of <b>\$130</b> for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |              |   |            | \$   |                     |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE       |  |                     |
| Total claims   | - 20 =       |   | x          |  |                     |
| Independent claims   | - 3 =        |   | x          |  |                     |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   | +          |  |                     |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |            | \$ 0.00                                      |                     |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |   |            |  |                     |
| <b>SUBTOTAL =</b>  |              |   |            | \$ 0.00                                      |                     |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |              |   |            | \$   |                     |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |            | \$ 0.00                                      |                     |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property  |              |   |            | \$   |                     |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |            | \$ 0.00                                      |                     |
|  |              |   |            | Amount to be refunded:                       | \$                  |
|  |              |   |            | Amount to be charged                         | \$                  |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 12-0080 in the amount of \$ 0.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Giulio A. DeConti, Jr.  
LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
(617) 227-7400

CUSTOMER NUMBER: 00959

Date: December 20, 2005

  
SIGNATURE

Megan E. Williams  
NAME

43,270  
REGISTRATION NUMBER

Express Mail Label No. EV 682325736 US Dated: December 20, 2005

PCT/PTO 20 DEC 2005  
Docket No.: ELI-038US  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Nehal Mohamed *et al.*

Application No.: 10/527937

Confirmation No.: 2366

Filing Date of  
International Application: September 16, 2003

Art Unit: N/A

For: BISPECIFIC MOLECULE COMPRISING AN  
ANTI-CR1 ANTIBODY CROSS-LINKED TO  
AN ANTIGEN-BINDING ANTIBODY  
FRAGMENT

Examiner: Not Yet Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.  
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**


MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed November 2, 2005, Applicant(s) respectfully submit(s) a Declaration, Petition and Power of Attorney, as well as a copy of the Notification of Missing Requirements.

Dated: December 20, 2005

Respectfully submitted,

By   
Megan E. Williams  
Registration No.: 43,270  
LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
(617) 227-7400  
(617) 742-4214 (Fax)  
Attorney/Agent For Applicant

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 682325736 US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on December 20, 2005  
Date

  
Signature

Megan E. Williams

Typed or printed name of person signing Certificate

43,270  
Registration Number, if applicable

(617) 227-7400  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Declaration, Petition and Power of Attorney (6 pages)  
Change of Correspondence Address (1 page)  
Response To Notification Of Missing Requirements Under 35 U.s.c. 371  
In The United States Designated/elected Office (1 page)  
Copy of Notification Of Missing Requirements Under 35 U.s.c. 371 In The  
United States Designated/elected Office (2 pages)  
Transmittal Letter to the United States Designated-Elected Office (3  
pages)  
Return Receipt Postcard  
Request for Change of Attorney Docket Number (2 pages)  
This Certificate of Express Mailing (1 page)

Rec'd PCT/PTO 20 DEC 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

ELI-038US

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/527,937                  | Nehal Mohamed         | 9635-050         |

INTERNATIONAL APPLICATION NO.

PCT/US03/29065

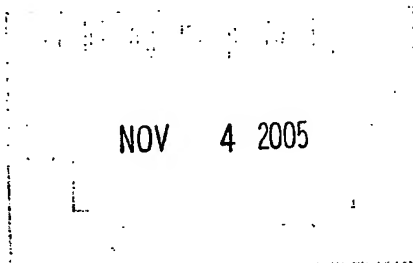
I.A. FILING DATE

09/16/2003

PRIORITY DATE

09/16/2002

20583  
 JONES DAY  
 222 EAST 41ST ST  
 NEW YORK, NY 10017



CONFIRMATION NO. 2366

371 FORMALITIES LETTER



\*OC000000017371168\*

Date Mailed: 11/02/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/16/2005
- Copy of the International Search Report filed on 03/16/2005
- Preliminary Amendments filed on 03/16/2005
- Oath or Declaration filed on 03/16/2005
- Request for Immediate Examination filed on 03/16/2005
- U.S. Basic National Fees filed on 03/16/2005
- Priority Documents filed on 03/16/2005

TERED

DOCKETED

Jan. 2, 2006 - Missing Requirements  
 June 2, 2006 - ESP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)



*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/527,937                  | PCT/US03/29065                | 9635-050         |

FORM PCT/DO/EO/905 (371 Formalities Notice)

Express Mail Label No. EV 682325736 US Dated: December 20, 2005

Docket No.: **ELI-038US**  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Nehal Mohamed *et al.*

Application No.: 10/527937

Confirmation No.: 2366

Filed: March 16, 2005

Art Unit: N/A

For: BISPECIFIC MOLECULE COMPRISING AN  
ANTI-CR1 ANTIBODY CROSS-LINKED TO  
AN ANTIGEN-BINDING ANTIBODY  
FRAGMENT

Examiner: Not Yet Assigned

**REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Attorney Docket Number of the above-identified patent application has changed.  
Please take notice that the Attorney Docket Number for this application should now be as  
follows:

**ELI-038US**

Please reference **ELI-038US** on all future correspondence.



Application No.: 10/527937

Docket No.: ELI-038US

Dated: December 20, 2005

Respectfully submitted,

By 

Megan E. Williams

Registration No.: 43,270

LAHIVE & COCKFIELD, LLP

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